



PTO/SB/08A (08-03)

Substitute for form 1449A/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	10/660,857	
			Filing Date	September 12, 2003	
			First Named Inventor	PHAN, LOC X.	
			Art Unit	Unassigned	
			Examiner Name	Unassigned	
Sheet	1	of	10	Attorney Docket Number	018563-001140US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
Ced	AA	2,467,432	04-19-1949	Kesling	
	AB	3,660,900	05-09-1972	Andrews	
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BM	5,131,844	07-21-1992	Marnaccio et al.		
BN	5,139,419	08-18-1992	Andreiko et al.		
Ced	BO	5,184,306	02-02-1993	Erdman et al.	
Examiner Signature	Cary E O Connor			Date Considered	2/3/06

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60298039 v1

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		Number Kind Code ² (if known)			
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	BQ	5,257,203	10-26-1993	Riley et al.	
	BR	5,273,429	12-28-1993	Rekow et al.	
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	BX	5,382,164	01-17-1995	Stem	
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	CO	5,655,653	08-12-1997	Chester	
	CP	5,683,243	11-04-1997	Andreiko et al.	
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		Country Code ²	Number ⁴	Kind Code ⁵ (if known)				
CD	CT	EP	091,876	A1	10-19-1983	Duret		<input type="checkbox"/>
CD	CU	EP	299,490	A2	01-18-1989	Steinbichler et al.		<input type="checkbox"/>
CD	CV	EP	376,873	A2	07-04-1990	Shafir		<input type="checkbox"/>
CD	CW	EP	490,848	B1	06-17-1992	Andersson et al.		<input type="checkbox"/>
CD	CX	EP	541,500	A1	06-12-1998	Andersson et al.		<input type="checkbox"/>

Examiner Signature	<i>Cary E O'Connor</i>	Date Considered	2/3/06
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	CZ	EP	774,933	B1	05-28-1997	Oden et al.		<input type="checkbox"/>
	DA	FR	2,652,256	A1	03-29-1991	Jourda		<input type="checkbox"/>
	DB	FR	2,369,828	A1	06-02-1978	Suyehiro		<input type="checkbox"/>
	DC	WO	90/08,512	A1	08-09-1990	Lemcraen		<input type="checkbox"/>
	DD	WO	91/04,713	A1	04-18-1991	Jourda et al.		<input type="checkbox"/>
	DE	WO	94/10,935	A1	05-26-1994	Andreiko et al.		<input type="checkbox"/>
	DF	WO	98/44,865	A1	10-15-1998	Andersson		<input type="checkbox"/>
	DG	WO	98/58,596	A1	12-30-1998	Chishtu et al.		<input type="checkbox"/>

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NON PATENT LITERATURE DOCUMENTS			
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ceo	DH	ALEXANDER et al., "The DigiGraph Work Station Part 2, Clinical Management," <i>JCO</i> (July 1990), pp. 402-407.	
	DI	ALTSCHULER et al., "Laser Electro-Optic System for Rapid Three-Dimensional (3D) Topographic Mapping of Surfaces," <i>Optical Engineering</i> , Vol. 20(6) (1981), pp. 953-961.	
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	DK	ALTSCHULER et al., "Analysis of 3-D Data for Comparative 3-D Serial Growth Pattern Studies of Oral-Facial Structures," Program and Abstracts of Papers, Feb. 1975, <i>Journal of Dental Research</i> , Vol. 54, IADR Abstracts 1979, 2 pages total.	
	DL	ALTSCHULER, "3D Mapping of Maxillo-Facial Prosthesis," AADR Abstract #607, 1980, 2 pages total.	
	DM	American Association for Dental Research, Summary of Activities, March 20-23, 1980, Los Angeles, CA, p. 195.	
	DN	ANDERSSON et al., "Clinical Results with Titanium Crowns Fabricated with Machine Duplication and Spark Erosion," <i>Acta Odontologica Scandinavica</i> , vol. 47 (1989), pp. 279-286.	
	DO	BAUMRIND et al., "A stereophotogrammetric system for the detection of prosthesis loosening in total hip arthroplasty, Applications of Human Biostereometrics (NATO)," Proceedings of the Society of Photo-Optical Instrumentation Engineers, Vol. 166, July 9-13, 1978, pp. 112-123.	
	DP	BAUMRIND et al., "Mapping the Skull in 3-D, Reprinted from The Journal, <i>California Dental Association</i> , Vol. 48, No. 2 (1972 Fall Issue) 11 pages total.	
	DQ	BAUMRIND et al., "Seminars in Orthodontics," <i>Seminars in Orthodontics</i> , Vol. 7, No. 4 (Dec. 2001), p. 222.	
	DR	BAUMRIND, "A System for Craniofacial Mapping Through the Integration of Data from Stereo X-Ray Films and Stereo Photographs," An invited paper submitted to the 1975 American Society of Photogram. Symposium on Close-Range Photogram. Systems, University of Ill., Aug. 26-30, 1975, pp. 1-25.	
	DS	BAUMRIND, "Integrated Three-Dimensional Craniofacial Mapping: Background, Principles, and Perspectives," <i>Seminars in Orthodontics</i> , Vol. 7, No. 4 (Dec. 2001), pp. 223-232.	
	DT	BEGOLE et al., "A Computer System for the Analysis of Dental Casts," <i>The Angle Orthodontist</i> , Vol. 51 No. 3 (July 1981), pp. 253-259.	
	DU	BERNARD et al., "Computerized diagnosis in Orthodontics for Epidemiological Studies" (progress report), Abstracts of Papers, <i>Journal of Dental Research</i> , Vol. 71, Special Issue March 1-14, 1992, pp. 28-36.	
	DV	BHATIA et al., "A Computer-Aided Design for Orthognathic Surgery," <i>British Journal of Oral and Maxillofacial Surgery</i> , Vol. 22 (1984), pp. 237-253.	
ces	DW	BIGGERSTAFF et al., "Computerized analysis of occlusion in the postcanine dentition," <i>American Journal of Orthodontics</i> , Vol. 61, No. 3 (Mar. 1972), pp. 245-254.	

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CEO	DX	BIGGERSTAFF, "Computerized Diagnostic Setups and Simulations," <i>The Angle Orthodontist</i> , Vol. 40, No. 1 (Jan. 1970), pp. 28-36.	
	DY	BRANDESTINI et al., "Computer Machined Ceramic Inlays: In Vitro Marginal Adaptation," <i>Journal of Dental Research</i> , Vol. 64/Special Issue/Abstracts, IADR/AADR Abstracts 1985, 1 page total.	
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	EC	CHACONAS et al., "The DigiGraph Work Station, Part 1, Basic Concepts," <i>JCO</i> (June 1990), pp. 360-367.	
	ED	CHAFETZ et al., "Subsidence of the Femoral Prosthesis, A Stereophotogrammetric Evaluation," <i>Clinical Orthopaedics and Related Research</i> , No. 201 (December 1985), pp. 60-67.	
	EE	CHIAPPONE, "Constructing the gnathologic setup and positioner" <i>J. Clin. Orthod.</i> , 14:121-133, 1980.	
	EF	COTTINGHAM, "Gnathologic clear plastic positioner" <i>Am. J. Orthod.</i> , 55:23-31, 1969.	
	EG	CRAWFORD, "Computers in Dentistry: Part 1: CAD/CAM: The Computer Moves Chairside," "Part 2: F. Duret — A Man With A Vision," "Part 3: The Computer Gives New Vision- Literally," "Part 4: Bytes 'N Bites' The Computer Moves From The Front Desk To The Operator," <i>Canadian Dental Journal</i> , vol. 54(9), (1988), pp. 661-666.	
	EH	CRAWFORD, "CAD/CAM in the Dental Office: Does It Work?" <i>Canadian Dental Journal</i> , Vol. 57, No. 2 (Feb. 1991), pp. 121-123.	
	EI	CROOKS, "CAD/CAM Comes to USC," <i>USC Dentistry</i> , (Spring 1990) pp. 14-17.	
	EJ	CURETON, "Correcting malaligned mandibular incisors with removable retainers" <i>J. Clin. Orthod.</i> , 30:390-395, 1996.	
	EK	CURRY et al., "Integrated Three-Dimensional Craniofacial Mapping at the Craniofacial Research Instrumentation Laboratory/University of the Pacific," <i>Seminars in Orthodontics</i> , Vol. 7, No. 4 (Dec. 2001), pp. 258-265.	
✓	EL	CUTTING et al., "Three-Dimensional Computer-Assisted Design of Craniofacial Surgical Procedures: Optimization and Interaction with Cephalometric and CT-Based Models," <i>Plastic and Reconstructive Surgery</i> , Vol. 77, No. 6 (June 1986), pp. 877-885.	
CEO	EM	DCS Dental AG, "The CAD/CAM 'DCS Titan System' for Production of Crowns/Bridges" <i>DSC Production AG</i> , Jan. 1992, pp. 1-7.	

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CAD	EN	DEFRANCO et al., "Three-Dimensional Large Displacement Analysis of Orthodontic Appliances," <i>J. Biomechanics</i> , Vol. 9 (1976), pp. 793-801.	
	EO	Dental Institute University of Zurich Switzerland, Program for International Symposium on Computer Restorations: State of the Art of the CEREC-Method, May 1991, 3 pages total.	
	EP	DenTrac Corporation, Dentrac document, pp. 4-13.	
	EQ	DURET et al., "CAD-CAM in Dentistry," <i>Journal of the American Dental Association</i> , Vol. 117 (Nov. 1988), pp. 715-720.	
	ER	DURET et al., "CAD/CAM imaging in dentistry," <i>Current Opinion in Dentistry</i> , Vol. 1 (1991), pp. 150-154.	
	ES	DURET, "The Dental CAD/CAM, General Description of the Project," <i>Hennson International Product Brochure</i> , Jan. 1986., 18 pages total.	
	ET	DURET, "Vers une prothese informatisee," (English translation also attached), <i>Tonus</i> , vol. 75, (Nov. 15, 1985), pp. 55-57.	
	EU	ECONOMIDES, "The Microcomputer in the Orthodontic Office," <i>JCO</i> , (Nov. 1979), pp. 767-772.	
	EV	ELSASSER, "Some observations on the history and uses of the Kesling positioner" <i>Am. J. Orthod.</i> , 36:368-374, 1950.	
	EW	FABER et al., "Computerized interactive orthodontic treatment planning," <i>Am. J. Orthod.</i> , Vol. 73, No. 1 (Jan. 1978), pp. 36-46.	
	EX	FELTON et al. "A computerized analysis of the shape and stability of mandibular arch form," <i>Am. Journal of Orthodontics and Dentofacial Orthopedics</i> , Vol. 92, No. 6 (Dec. 1987), pp. 478-483.	
	EY	FRIEDE et al., "Accuracy of Cephalometric Prediction in Orthognathic Surgery," <i>Abstract of Papers, Journal of Dental Research</i> , Vol. 70 (1987), pp. 754-760.	
	EZ	GIM-ALLDENT Deutschland, "Das DUX System: Die Technik" 4 pages total.	
	FA	GRAYSON, "New Methods for Three Dimensional Analysis of Craniofacial Deformity," Symposium: Computerized Facial Imaging in Oral and Maxillofacial Surgery, AAOMS Sept. 13, 1990, 3 pages total.	
V	FB	GUESS et al., "Computer Treatment Estimates in Orthodontics and Orthognathic Surgery," <i>JCO</i> , (April, 1989), pp. 262-28.	
Co	FC	HEAVEN et al., "Computer-based Image Analysis of Artificial Root Surface Caries," "Automated Identification of Landmarks in Cephalometric Radiographs," <i>Abstracts of Papers, Journal of Dental Research</i> , Vol. 67, March 9-13, 1988, 2 pages total.	

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CED	FD	HOFFMANN et al., "Role of Cephalometry for Planning of Jaw Orthopedics and Jaw Surgery Procedures," (Article Summary In English, article in German), <i>Informationen</i> , (March 1991), pp. 375-396.	
	FE	HUCKINS, "CAD-CAM Generated Mandibular Model Prototype from MRI Data," <i>AAOMS</i> 1999, p. 96.	
	FF	Inside the ADA, <i>Journal Of The American Dental Assoc.</i> , Vol. 118 (March 1989) 9 pages total.	
	FG	JCO Interviews, "Craig Andreiko, DDS, MS on the Elan and Orthos Systems", JCO, (Aug. 1994), pp. 459-468.	
	FH	JCO Interviews, "Dr. Homer W. Phillips on Computers In Orthodontic Practice, Part 2," JCO, (Dec. 1983), pp. 819-831.	
	FI	JERROLD, "The problem, electronic data transmission and the law," <i>AJO-DO</i> , (Apr. 1988), pp. 478-479.	
	FJ	JONES et al., "An Assessment of the Fit of a Parabolic Curve to Pre- and Post-Treatment Dental Arches," <i>British Journal of Orthodontics</i> , Vol. 16 (1989), pp. 85-93.	
	FK	KAMADA et al., "Case reports on tooth positioners using LTV vinyl silicone rubber" <i>J. Nihon University School of Dentistry</i> , 26(1):11-29, 1984.	
	FL	KAMADA et al., "Construction of tooth positioners with LTV vinyl silicone rubber and some case reports" <i>J. Nihon University School of Dentistry</i> , 24(1):1-27, 1982.	
	FM	KANAZAWA et al., "Three-Dimensional Measurements of the Occlusal Surfaces of Upper Molars in a Dutch Population," <i>J. Dent Res.</i> , Vol. 63, No. 11 (Nov. 1984), pp. 1298-1301.	
	FN	KESLING, "Coordinating the predetermined pattern and tooth positioner with conventional treatment" <i>Am. J. Orthod. Oral. Surg.</i> , 32:285-293, 1946.	
	FO	KESLING, "The philosophy of the tooth positioning appliance" <i>Am. J. Orthod. Oral. Surg.</i> , 31(6):297-304, 1945.	
	FP	KLEEMANN et al., "The speed positioner" <i>J. Clin. Orthod.</i> , 30:673-680, 1996.	
	FQ	KURODA et al., "Three-dimensional dental cast analyzing system using laser scanning" <i>Am. J. Orthod. Dentofac. Orthop.</i> , 110:365-369, 1996.	
	FR	LAURENDEAU et al., "A Computer-Vision Technique for the Acquisition and Processing of 3-D Profiles of Dental Imprints: An Application in Orthodontics," <i>IEEE Transactions on Medical Imaging</i> , Vol. 10, No. 3 (Sept. 1991), pp. 453-461.	
CED	FS	LEINFELDER et al., "A new method for generating ceramic restorations: a CAD-CAM system," <i>Journal Of The American Dental Assoc.</i> , Vol. 118, No. 6 (Jun. 1989), pp. 703-707.	

Examiner Signature	Cary E. Q. Connor	Date Considered	2/3/06
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete If Known		
			Application Number	10/660,857	
			Filing Date	September 12, 2003	
			First Named Inventor	PHAN, LOC X.	
			Art Unit	Unassigned	
			Examiner Name	Unassigned	
Sheet	8	of	10	Attorney Docket Number	018563-001140US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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	FU	MCNAMARA et al., "Invisible Retainers", <i>J. Clinical Orthodontics</i> , (August 1985) pp. 570-578.	
	FV	MOERMANN et al., "Computer Machined Adhesive Porcelain Inlays: Margin Adaptation after Fatigue Stress," IADR Abstract 339, <i>Journal of Dental Research</i> , vol. 66(a) (1987), p. 339.	
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	FX	MÖRMANN et al., "Marginale Adaptation von adhäsiven Porzellaninlays in vitro," Separatdruck aus: Schweiz. Mschr. Zahnmed. 95: 1118, 1985.	
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	FZ	NASH, "CEREC CAD/CAM Inlays: Aesthetics and Durability in a Single Appointment," <i>Dentistry Today</i> , (October 1990), pp. 20, 22-23, 54.	
	GA	NISHIYAMA et al., "A new construction of tooth repositioner by LTV vinyl silicone rubber" <i>J. Nihon University School of Dentistry</i> , 19(2):93-102, 1977.	
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	GD	PONITZ, Invisible Retainers, 59 <i>Am. J. Orthodontics</i> , March 1971, pp. 266-272.	
	GE	Procera Research Projects, <i>PROCERA Research Projects 1993 — Abstract Collection</i> , 1993, pp. 3-28.	
	GF	REKOW, "A Review of the Developments in Dental CAD/CAM Systems," (contains references to Japanese efforts and content of the papers of particular interest to the clinician are indicated with a one-line summary of their content in the bibliography), <i>Dental Clinics: Prosthodontics and Endodontics</i> , pp.25-33, 1992.	
	GG	REKOW, "CAD/CAM in Dentistry: A Historical Perspective and View of the Future," <i>Journal</i> , Vol. 58 No. 4, (April 1992), pp. 283, 287-288.	
✓	GH	REKOW, "Computer-Aided Design and Manufacturing in Dentistry: A Review of the State of the Art," <i>The Journal of Prosthetic Dentistry</i> , Vol. 58, No. 4 (Oct. 1987), pp. 512-516.	
CEO	GI	REKOW, "Dental CAD-CAM Systems: What is the State of the Art?" <i>Journal of the American Dental Assoc.</i> , Vol. 122 (1991), pp. 43-48.	

Examiner Signature	Cary E O'Connor	Date Considered	2/3/86
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CCD	GJ	REKOW, "Feasibility of an Automated System for Production of "Dental Restorations," PhD Thesis, Univ. of Minnesota, Nov. 1988, 244 pages total.	
	GK	RICHMOND et al., Research Reports, "The development of a 3D Cast Analysis System," <i>British Journal of Orthodontics</i> , pp. 53-54.	
	GL	RICHMOND, "Recording the dental cast in three dimensions," <i>Am. J. Orthod. Dentofac. Orthop.</i> , Vol. 92, No. 3, (Sept. 1987), pp.199-206.	
	GM	RUDGE, "Dental arch analysis: arch form, A review of the literature," <i>European Journal of Orthodontics</i> , Vol. 3, No. 4 (1981), pp.279-284.	
	GN	SAKUDA et al., "Integrated information-processing system in clinical orthodontics: An approach with use of a computer network system," <i>Am. J. Orthod. Dentofac. Orthop.</i> Vol. 101 No. 3 (March 1992), pp. 210-220.	
	GO	SHELLHAS et al., "Three-Dimensional Computer Tomography in Maxillofacial Surgical Planning," <i>Arch Otolaryngol Head Neck Surg.</i> Vol. 114 (April 1988), pp. 438-442.	
	GP	SEGÜ et al., "Computer-aided Cephalometry and New Mechanics in Orthodontics" (Article Summary in English, article in German), <i>Fortschr. Kieferorthop.</i> 44, 370-376 (Nr. 5), 1983	
	GQ	SHILLIDAY, "Minimizing finishing problems with the mini-positioner" <i>Am. J. Orthod.</i> 59:596-599, 1971	
	GR	Siemens, "CEREC - Computer-Reconstruction, "High Tech in der Zahnmedizin, 14 page total.	
	GS	Sirona Dental Systems GmbH, CEREC 3D, Manuel utilisateur, Version 2.0X (in French), 2003, 114 pages total.	
	GT	STOLL et al., "Computer-aided Technologies in Dentistry" (Article Summary in English, article in German), <i>Dtsch Zahnärztl Z</i> 45, 314-322, 1990.	
	GU	U.S. Department of Commerce, National Technical Information Service, "Automated Crown Replication Using Solid Photography SM," Solid Photography Inc. Melville NY, October 1977, 21 pages total.	
	GV	U.S. Department of Commerce, National Technical Information Service, "Holodontology: An Introduction to Dental Laser Holography," School of Aerospace Medicine Brooks AFB Tex, March 1973, 37 pages total.	
	GW	U.S. Provisional Patent Application No. 60/050342, filed on June 20, 1997, 41 pages total.	
✓	GX	VAN DER LINDEN et al., Three-Dimensional Analysis of Dental Casts by Means of the Optocom," <i>J Dent Res</i> , July-August 1972, p. 1101.	
CCD	GY	VAN DER LINDEN, "A New Method to Determine Tooth Positions and Dental Arch Dimensions," July-August 1972, p. 1104.	

Examiner Signature	<i>Cary E O'Connor</i>	Date Considered	2/3/06
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CEO	GZ	VAN DER ZEL, "Ceramic-fused-to-metal Restorations with a New CAD/CAM System," <i>Quintessence International</i> , vol. 24(11) (1993), pp. 769-778.	
	HA	VÁRADY et al., "Reverse Engineering of Geometric Models - An Introduction," May 13, 1996, pp. 1-28.	
	HB	WARUNEK et al., "Clinical use of silicone elastomer appliances" <i>JCO</i> , MH (10):694-700, 1989.	
	HC	WARUNEK et al., "Physical and mechanical properties of elastomers in orthodontic positioners" <i>Am. J. Orthod. Dentofac. Orthop.</i> , 95:388-400, 1989.	
	HD	WELLS, "Application of the positioner appliance in orthodontic treatment" <i>Am. J. Orthodont.</i> , 58:351-366, 1970.	
	HE	WILLIAMS, "Dentistry and CAD/CAM: Another French Revolution," <i>Journal of Dental Practice Admin.</i> , Jan./March 1987, pp. 2-5.	
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↓	HH	YAMAMOTO et al., "Three-Dimensional Measurement of Dental Cast Profiles and Its Applications to Orthodontics," <i>Annual Int'l Conf. of IEEE Engineering in Medicine and Biology Society</i> , Vol. 12, No. 5, pp. 2051-2053, 1990	
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Examiner Signature	<i>Cathy E O'Connor</i>	Date Considered	2/3/06
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		Application Number	10/660,857
		Filing Date	September 12, 2003
		First Named Inventor	PHAN, LOC X.
		Art Unit	Unassigned
		Examiner Name	Unassigned
Sheet	1 of 2	Attorney Docket Number	018563-001140US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
<i>CED</i> ↓ ↓ ↓ ↓ ↓ ↓ <i>CED</i>	1	3,922,786	12/1975	Lavin	
	2	5,011,705	4/1991	Lemchen	
	3	5,957,686	9/1999	Anthony	
	4	5,964,587	10/1999	Sato	
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	7	6,309,215	10/2001	Phan et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
CED	8	WO	98/58596		12/1998			

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Signature

Cary E O'Connor

 Date
Considered

2/3/06

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ced	9	Chippone, "Constructing the gnathologic setup and positioner" <i>Journal of Clinical Orthodontics</i> (1980) 14:121-133.	
	10	Cottingham, "Gnathologic clear plastic positioner" <i>American Journal of Orthodontics</i> (1969) 55:23-31.	
	11	Cureton, "Correcting malaligned mandibular incisors with removable retainers" <i>Journal of Clinical Orthodontics</i> (1996) 30:390-395.	
	12	Kesling et al., "The philosophy of the tooth positioning appliance" <i>American Journal of Orthodontics and Oral Surgery</i> (1945) 31:297-304.	
	13	Kesling et al., "Coordinating the predetermined pattern and tooth positioner with conventional treatment" <i>American Journal of Orthodontics and Oral Surgery</i> (1945) 32:285-293.	
	14	Kleeman et al., "The speed positioner" <i>Journal of Clinical Orthodontics</i> (1996) 30:673-680.	
	15	Product Brochure, Raintree Essix™, Inc. New Orleans, Louisiana 70125, 7 pages total from http://www/essix.com/magazine/default.html .	
	16	Product Brochure, Tru-tain Orthodontic & Dental Supplies, Rochester, Minnesota 55902, 16 pages total.	
	17	Shilliday, "Minimizing finishing problems with the mini-positioner" <i>American Journal of Orthodontics</i> (1971) 59:596-599.	
	18	Warunek et al., "Clinical use of silicone elastomer appliances" <i>Journal of Clinical Orthodontics</i> (1989) 23:694-700.	
ced	19	Wells, "Application of the positioner appliance in orthodontic treatment" <i>American Journal of Orthodontics</i> (1969) 55:23-31.	

Examiner Signature	<i>Cary E. O'Connor</i>	Date Considered	2/3/06
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PTO/SB/08A (08-03)

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		First Named Inventor	PHAN, LOC X.		
		Art Unit	3732		
Sheet	1	of	11	Examiner Name	Unassigned
				Attorney Docket Number	018563-001140US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
CLO	1	3,407,500	10-29-1968	Kesling	
	2	3,600,808	08-24-1971	Reeve	
	3	3,683,502	08-15-1972	Wallshein.	
	4	3,983,628	10-05-1976	Acevedo	
	5	4,253,828	03-03-1981	Coles et al.	
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	7	4,500,294	02-19-1985	Lewis	
	8	4,526,540	07-02-1985	Dellinger	
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	12	4,664,626	05-12-1987	Kesling	
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	41	5,879,158	03-09-1999	Doyle et al.	
Examiner Signature	Cary E O'Connor		Date Considered	2/3/06	

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CLO	42	5,880,961	03-09-1999	Crump	
	43	5,880,962	03-09-1999	Andersson et al.	
	44	5,934,288	08-10-1999	Avila et al.	
	45	5,957,686	09-28-1999	Anthony	
	46	6,015,289	01-18-2000	Andreiko et al.	
	47	6,044,309	03-28-2000	Honda	
	48	6,049,743	04-11-2000	Baba	
	49	6,062,861	05-16-2000	Andersson	
	50	6,068,482	05-30-2000	Snow	
	51	6,099,314	08-08-2000	Kopelman et al.	
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	60	6,350,120	02-26-2002	Sachdeva et al.	
	61	6,398,548	06-04-2002	Muhammad et al.	
	62	6,524,101	02-25-2003	Phan et al.	
	63	6,554,611	04-29-2003	Chishti et al.	
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↓	67	AU	3031677		05-10-1979	Hito Suyehiro		<input type="checkbox"/>
	68	AU	5598894		06-08-1994	Ormco Corp		<input type="checkbox"/>
	69	CA	1121955		04-20-1982	Suyehiro Hito		<input type="checkbox"/>
	70	DE	2749802		05-11-1978	Suyehiro Hito		<input checked="" type="checkbox"/>
	71	DE	69327661		07-20-2000	Ormco Corp		<input checked="" type="checkbox"/>
↓	72	EP	0091876		10-19-1983	Duret		<input checked="" type="checkbox"/>
	73	EP	0299490		01-18-1989	Steinbichler		<input checked="" type="checkbox"/>
	74	EP	0376873		07-04-1990	Shafir		<input type="checkbox"/>
	CLO	75	EP	0490848		06-17-1992	Nobelpharma AB.	

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	77	EP	0667753		08-23-1995	Ormco Corp		<input type="checkbox"/>
	78	EP	0774933		05-28-1997	Sandvik AB		<input type="checkbox"/>
	79	EP	0731673		09-18-1996	Nobel Biocare AB		<input type="checkbox"/>
	80	ES	463897		01-01-1980	Hito Suyehiro		<input type="checkbox"/>
	81	FR	2369828		06-02-1978	Hito Suyehiro		<input checked="" type="checkbox"/>
	82	FR	2652256		03-29-1991	Jourda		<input checked="" type="checkbox"/>
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	84	JP	04-028359		01-30-1992	Mitsubishi Petrochemical Co		<input checked="" type="checkbox"/>
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V	86	JP	08-508174		09-03-1996	Ormco Corp		<input checked="" type="checkbox"/>
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	88	WO	91/04713		04-18-1991	Jourda et.al.		<input type="checkbox"/>
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	90	WO	98/32394		07-30-1998	Hultgren Bruce Willard		<input type="checkbox"/>
CD	91	WO	98/44865		10-15-1998	Nobel Biocare AB		<input type="checkbox"/>

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Examiner Signature	Cary E O'Connor	Date Considered	2/3/06
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Substitute for form 1449B/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	10/660,857	
			Filing Date	September 12, 2003	
			First Named Inventor	PHAN, LOC X.	
			Art Unit	3732	
			Examiner Name	Unassigned	
Sheet	11	of	11	Attorney Docket Number	018563-001140US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
CEO	197	VAN DER ZEL, Ceramic-fused-to-metal Restorations with a New CAD/CAM System, <i>Quintessence International</i> , Vol. 24, No. 11 (1993), pp. 769-778.	
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CEO	208	YOSHII, Research on a New Orthodontic Appliance: The Dynamic Positioner (D.P.)-III. The General Concept of the D.P. Method and its Therapeutic Effect, Part 2. Skeletal reversed Occlusion Case Reports, <i>Nippon Dental Review</i> , Vol. 458, 12/80, pp. 112-129.	

Examiner Signature	<i>Cary E O'Connor</i>	Date Considered	2/3/06
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